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**PATENT** Docket No. 5634.223

# იციეს 260 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventors:

John C. Harvey and James W.

Cuddihy

Serial No.:

08/460,817

Filing Date: June 2, 1995

For:

Signal Processing Apparatus and

Methods

Group Art Unit: 2602

Examiner:

**Assistant Commissioner of Patents** 

Washington D.C. 20231

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56(a) and in conformance with the procedures of 37 C.F.R. §§ 1.97-98 and M.P.E.P. § 609, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The above-referenced application claims priority under 35 U.S.C. § 120 of the following applications:

Serial No.	<u>Filing Date</u>	<u>Patent No.</u>
08/113,329	August 30, 1993	Pending
08/056,501	May 3,1993	5,335,277
07/849,226	March 10, 1992	5,233,654
07/588,126	September 25, 1990	5,109,414
07/096,096	September 11, 1987	4,965,825

Applicants believe that all references cited on the attached PTO 1449 form were cited by or submitted to the PTO in one or more of the cases cited above or in U.S. Patent Application Serial No. 06/829,531, filed February 15, 1986 now U.S. Patent No. 4,704,725 and/or U.S. Patent Application Serial No. 06/317,510, filed November 3, 1981 now U.S. Patent No. 4,694,490. Therefore, no copies of the listed references are provided herewith. It is respectfully requested that the information above be expressly considered during the prosecution of this Application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

#### **CERTIFICATION AND/OR FEE**

Since this IDS is being filed pursuant to 37 C.F.R § 1.97(b) before the later of three months after the filing date of the above-referenced application or the date of receipt of the first Office Action on the merits, no certification or fee is required.

Respectfully submitted

Thomas J. Scott, Jr. (Reg. No. 27,836)

Dated: December 15, 1995

HOWREY & SIMON 1299 Pennsylvania, N.W. Washington, D.C. 20004-2402 (202) 783-0800 (telephone) (202) 383-6610 (telcopier)

Information Disclosure Statement by Applicant	Attorney Docket No. 5634.223 Serial No. 08/460,817		
	Applicant(s) John C. Harvey and James W. Cuddihy		9. 633999
Title: Signal Processing Apparatus and Method	Filing Date June 2, 1995	Group 2602	-6 PM

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	2,875,270	2/24/59	Wendt et al.	178/5.1	
	3,440,427	4/22/69	Kammer	250/210	
	3,485,946	12/23/69	Jackson et al.	178/6	
	3,500,327	3/10/70	Belcher et al.	340/154	
	3,560,936	2/2/71	Busch	340/172.5	
	3,564,509	2/16/71	Perkins et al.	340/172.5	
	3,696,297	10/3/72	Otero	325/55	
	3,712,956	1/23/73	Lemelson	178/6.6A	
,	3,836,888	9/17/74	Boenke et al.	340/172.5	
	3,848,082	11/12/74	Summers	178/5.6	
	3,889,054	6/10/75	Nagel et al.	178/6.8	
	3,936,868	2/3/76	Thorpe	358/22	
	3,982,065	9/21/76	Barnaby et al.	178/5.8	
	3,984,637	10/5/76	Caudill et al.	179/2	
	3,996,583	12/7/76	Hutt et al.	340/324	
	4,016,361	4/5/77	Pandey	358/83	
	4,026,555	5/31/77	Kirschner et al.	273/85	

Examiner	Date Considered

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Title: Signal Processing Apparatus and Method	Filing Date Group June 2, 1995 2602	

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date
	4,032,972	6/28/77	Saylor	358/142	
	4,042,958	8/16/77	Saylor et al.	358/141	
	4,052,719	10/4/77	Hutt et al.	340/324	
	4,055,848	10/25/77	Fearnside	358/8	_
	4,064,490	12/20/77	Nagel	364/200	
	4,074,315	2/14/78	Kawamura et al.	358/142	
	4,096,524	6/20/78	Scott	358/85	
	4,099,258	7/4/78	Parsons	364/900	
	4,120,003	10/10/78	Mitchell et al.	358/142	
	4,134,127	1/9/79	Campioni	358/16	
	4,135,213	1/16/79	Wintfeld et al.	358/142	
	4,139,860	2/13/79	Micic et al.	358/22	
	4,161,728	7/17/79	Insam	340/750	
	4,162,513	7/24/79	Beyers, Jr. et al.	358/191	
	4,178,613	12/11/79	Takahashi et al.	358/183	
	4,203,130	5/13/80	Doumit et al.	358/1	
	4,228,543	10/14/80	Jackson	455/181	
	4,231,031	10/28/80	Crowther et al.	340/695	

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date
	4,233,628	11/11/80	Ciciora	358/147	-
	4,243,984	1/6/81	Ackley et al.	340/703	
	4,245,252	1/13/81	Nagumo	358/213	
	4,249,211	2/3/81	Baba et al.	358/183	
	4,261,006	4/7/81	Weintraub et al.	358/3	
	4,270,145	5/26/81	Farina	358/188	
	4,278,973	7/14/81	Hughes et al.	340/721	
	4,278,993	7/14/81	Suzuki	358/22	
	4,308,558	12/29/81	Hernandez et al.	358/142	
	4,315,282	2/9/82	Schumacher	358/107	
	4,337,485	6/29/82	Chambers	358/147	
	4,344,090	8/10/82	Belisomi et al.	358/183	
	4,361,848	11/30/82	Poignet et al.	358/1	
	4,374,395	2/15/83	Herrmann et al.	358/22	
	4,405,946	9/20/83	Knight	358/192.1	
	4,412,244	10/25/83	Shanley, II	358/22	
	4,413,281	11/1/83	Thonnart	358/147	
	4,420,769	12/13/83	Novak	358/139	

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	* Filing Date
	4,430,669	2/7/84	Cheung	358/122	
	4,449,249	5/15/84	Price	455/45	
	4,450,442	5/22/84	Tanaka	340/814	·
	4,456,925	6/26/84	Skerlos et al.	358/85	
	4,477,830	10/16/84	Lindman et al.	358/1	
	4,495,654	1/22/85	Deiss	455/151	
	4,496,171	1/29/85	Cherry	283/61	
	4,496,976	1/29/85	Swanson et al.	358/147	
	4,498,098	2/5/85	Stell	358/22	
	4,550,407	10/29/85	Couasnon et al.	371/29	
	4,566,034	1/21/86	Harger et al.	358/194.1	
	4,595,951	6/17/86	Filliman	358/147	
	4,614,972	9/30/86	Motsch et al.	358/147	
	4,616,262	10/7/86	Toriumi et al.	358/183	
	4,620,227	10/28/86	Levin et al.	358/147	
	4,620,229	10/28/86	Amano et al.	358/194.1	
	4,626,909	12/2/86	Oniki et al.	358/114	
	4,639,890	1/27/87	Heilveil et al.	364/900	

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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	* Filing Date
	4,663,735	5/5/87	Novak et al.	364/900	
	4,688,197	8/18/87	Novak et al.	365/230	
	4,691,351	9/1/87	Hayashi et al.	380/10	
	4,710,800	12/1/87	Fearing et al.	358/22	9/16/85
	4,720,819	1/19/88	Pinkham et al.	365/219	12/30/83
	4,745,549	5/17/88	Hashimoto	364/402	6/6/86
	4,747,081	5/24/88	Heilveil et al.	365/219	12/30/83
	4,768,144	8/30/88	Winter et al.	364/200	10/20/86
	4,768,228	8/30/88	Clupper et al.	380/20	9/11/87***
_	4,829,569	5/9/89	Seth-Smith et al.	380/10	7/8/86
	4,885,775	12/5/89	Lucas	380/10	9/21/84
_	5,163,024	11/10/92	Heilveil et al.	365/219	5/9/90***
	Re. 31,977	8/27/85	Ott	364/200	
	Re. 32,187	6/17/86	Barda et al.	340/706	

<sup>-</sup> If pertinent

Examiner	Date Considered
<b>Examiner:</b> Initial if citation considered, whether or not citation is in	conformance with

M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>\*\*\* -</sup> Claims priority from patent application filed prior to Sept. 11, 1987

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# **Foreign Patent Documents**

Examiner Initial	Document Number	Publication Date	Country	Class / Subclass	Trans Yes	lation No
	60-61935	4/9/85	Japan	G11B15/02	abs.	
	61-50470	3/12/86	Japan	H04N 5/44	abs.	
	0 133 985	3/13/85	European Patent Office	H 04 N 7/087	abs.	
	1,066,931	4/26/67	Great Britain	G 07c		No

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	Gecsei, Jan. <u>The Architecture of Videotex Systems</u> (Englewood Cliffs, N.J.: Prentice-Hall, Inc., 1983), pp. 174-177, 233-238.
	Sigel, Efrem et al. <u>The Future of Videotext: Worldwide Prospects for Home/Office</u> <u>Electronic Information Services</u> (White Plains, N.Y.: Knowledge Industry Publications, Inc., 1983), pp. 28, 119-126.
	Raggett, Michael. "Broadcast Telesoftware," <u>Computer Graphics World</u> , Vol. 6, No. 9, September 1983, table of contents, pp. 49, 50, 52 and letters.
	Tydeman, John et al. <u>Teletex and Videotex in the United States: Market Potential,</u> <u>Technology, Public Policy Issues</u> , Institute for the Future (New York: McGraw-Hill Publications, 1982), pp. 4, 89-99, 122-169.
	"Telesoftware and Education Project: Summary of Report," A Joint BBC/ITV & Brighton Research Project, Summer 1982, 111 p. and appendix.

Examiner	/Jorge L. Ortiz-Criado/	Date Considered
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Title: Signal Processing Apparatus and Method	Filing Date Group June 2, 1995 2602	

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	Nishimoto, Naomichi et al. "VHS VCR with Index and Address Search Systems," Consumer Electronics, Vol. CE-33, No. 3 August 1987, pp. 220-225.
	Weissman, Steven B. "Teletext in transactional videotex," <u>Electronic Publishing Review</u> , Vol. 2, No. 4, 1982, pp. 301-304.
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	McIntyre, Colin, "Broadcast teletext - who says it isn't interactive?" pp. 1-12 in: Anon. <u>Videotex-key to the information revolultion</u> (Online Publications Ltd., 1982).
	Veith, Richard H., "Television's Teletext," Elsevier Science Publishing, Inc., New York, 1983, pp. 9, 12, 17, 19, 32, 46-47, 136-137, 139.
	Alber, Antone F., "Videotex/Teletext, Principles and Practices," McGraw-Hill Book Company, pp. 37, 138-139, 142-147, 188-191.
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	Russell, R.T. "Teletext remote control", part 2, Wireless World, May 1979, pp. 83-86.
	Pandey, K. "Second generation teletext and viewdata decoders," <u>Proceedings IEE</u> , Vol. 126, December 1979, pp. 1367-1373.
	Hedger, J. et al. "Telesoftware: adding intelligence to teletext," <u>Proceedings IEE</u> , Vol. 126, December 1979, pp. 1412-1416
	Sigel, Efrem et al. <u>Videotext: The Coming Revolution in Home/Office Information</u> Retrieval, (White Plains, NY: Knowledge Industry Publications, Inc., 1980), pp. 6, 7, 13, 28, 33, 34, 36, 37.

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Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.			
	Roizen, Joseph. "Teletext in the USA," <u>SMPTE Journal</u> , Vol. 90, July 1981, pp. 602-610.			
	Money, Steve A. <u>Teletext and Viewdata</u> (London: Butterworth & Co., Ltd., 1981), preface, pp. 1-145, glossary and index.			
	Risher, Carol A. "Electronic Media and the Publishers, Part 1: Teletext," <u>Videodisc</u> <u>Videotex</u> , Vol. 1, No. 3, Summer 1981, pp. 162-167.			
	Chew, J.R. "CEEFAX: evolution and potential," BBC Research Department Report No. BBC RD 1977/26, August 1977, table of contents, pp. 1-14 and appendix.			
	Hedger, John. "Telesoftware: Home computing via teletext," <u>Wireless World</u> , November 1978, pp. 61-64.			
Anon. <u>VIDEOTEX '81,</u> International Conference & Exhibition, May 20-22, 1981, To Canada (Northwood Hills, UK: Online Conferences, Ltd; 1981), pp. 78-84.				
	Winsbury, Rex, ed. <u>Viewdata in Action: A Comparative Study of Prestel</u> (London: McGraw-Hill, Ltd., 1981), pp. 10-12, 31, 35, 36, 57-61, 102, 103, 109, 202-204, 211-219.			
	"Colloquium on Broadcast and Wired Teletext Systems - Ceefax, Oracle, Viewdata," Tuesday, 13 January 1976, IEE Electronics Division, Professional Group E14 (Television and Sound), Digest No. 1976/3.			
	Anon. "Updating databases by off-peak TV," New Scientist, 21 October 1976, p. 162.			
	Marti, Bernard. "New Ancillary Services Using a Television Channel," <u>SMPTE Journal</u> , Vol. 86, November 1977, pp. 815, 817, 818.			
	Biggs, A.J. et al. "Broadcast data in television," <u>GEC Journal of Science and Technology</u> , Vol. 41, No. 4, 1974, pp. 117-124.			
	Heuer, D.A. "A Microprocessor Controlled Memory Tuning System," Consumer Electronics, Vol. CE-25, No. 4, August 1979, pp. 677-683.			

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Title: Signal Processing Apparatus and Method	Filing Date June 2, 1995	Group 2602

#### **Other Documents**

Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.				
	Marti, Bernard et al. "ANTIOPE, service de télétexte," journal unk., pp. 17-22.				
	Lipoff, Stuart J. "Mass Market Potential for Home Terminals," <u>Consumer Electronics</u> , vol. unk., pp. 169-184.				
	Crowther, G.O., "Adaptation of U.K. Teletext System for 525/60 Operation," <u>IEEE</u> <u>Transactions on Consumer Electronics</u> , Vol. CE-26, Aug. 1980, pp. 587-599.				
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	Somers, Eric, "Appropriate Technology for Text Broadcasting," <u>Viewdata and Videotext</u> , 1980-81: A Worldwide Report, Transcript of viewdata '80, first world conference on viewdata, videotex, and teletext, Knowledge Industry Publications, Inc., White Plains, New York, Copyright 1980 by Online Conferences, Ltd., pp. 499-514.				
	Dages, Charles L., "Playcable: A Technological Alternative for Information Services," <u>IEEE Transactions on Consumer Electronics</u> , Vol. CE-26, Aug. 1980, pp. 482-486.				
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Examiner	Date Considered

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Supplemental Information Disclosure Statement by Applicant	Attorney Docket No. 5634.223	Serial No. 08/460,817
	Applicant(s) John C. Harvey and James W. Cuddihy	
Title: Signal Processing Apparatus and Methods	Filing Date June 2, 1995	Group 2602

#### **U. S. Patent Documents**

Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date*
	2,510,046	5/30/50	Ellett, et al.	178/5.6	
	2,619,530	11/25/52	Roschke	178/5.1	
	2,892,882	6/30/59	Hughes	178/5.1	
	2,972,008	2/14/61	Ridenour, et al.	178/5.1	
	3,304,416	2/14/67	Wolf	235/92	
	3,336,437	8/15/67	Brouard, et al.	178/5.4	
	3,478,164	11/11/69	Southworth	178/6.6	
	3,576,391	4/27/71	Houghton	178/5.6	
	3,683,111	8/8/72	Southworth	178/6	
	3,726,992	4/10/73	Eguchi, et al.	. 178/5.6	
	3,728,480	4/17/73	Baer	178/6.8	
	3,743,767	7/3/73	Bitzer, et al.	178/5.6	
	3,875,329	4/1/75	Nagel	178/6.8	
	3,927,250	12/16/75	Rainger	178/5.6	
	3,950,607	4/13/76	Southworth, et al.	178/6	

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	3,982,064	9/21/76	Barnaby	348/467	
	4,057,829	11/8/ <i>7</i> 7	Moorehead	358/86	
	4,104,681	8/1/78	Saylor, et al.	358/141	
	4,148,066	4/3/79	Saylor	358/127	
	4,175,267	11/20/79	Tachi	358/4	
	4,199,656	4/22/80	Saylor	178/66.1	
	4,290,062	9/15/81	Marti, et al.	340/721	
	4,303,940	12/1/81	Ciciora	358/142	,
	4,303,941	12/1/81	Marti, et al.	358/147	
	4,333,109	6/1/82	Ciciora	358/147	
	4,335,402	6/15/82	Holmes	358/147	
	4,347,618	8/31/82	Kavouras, et al.	375/37	
	4,352,011	9/28/82	Guillou	235/375	
	4,367,488	1/4/83	Leventer, et al.	358/147	

Examiner	Date Considered
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Examiner Initial	Patent Number	Patent Date	Name	Class / Subclass	Filing Date *
	4,388,639	6/14/83	Cox, et al.	358/27	
	4,393,376	7/12/83	Thomas	340/717	
	4,393,404	7/12/83	Cox, et al.	358/147	
	4,400,717	8/23/83	Southworth, et al.	358/13	
	4,439,761	3/27/84	Fleming, et al.	345/189	
	4,449,145	5/15/84	Ciciora	358/147	
	4,458,268	7/3/84	Ciciora	358/120	
	4,520,392	5/28/85	Cox et al.	358/147	
	4,734,907	3/29/88	Turner	370/60	9/6/85

<sup>\*-</sup> If pertinent

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<sup>\*\*\*-</sup> Claims priority from parent application filed prior to Sep. 11, 1987.

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Supplemental Information Disclosure Statement by Applicant	Attorney Docket No. 5634.223	Serial No. 08/460,817
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## **Foreign Patent Documents**

Examiner Initial	Document Number	Publication Date	Country	Class / Subclass	Trans Yes	slation No
	WO 85/03604	8/15/85	PCT Application	H03K 3/84		Х
	0 132 007	1/23/85	European Patent Office	H04N 7/16		Х
	2 033 699	5/21/80	Great Britain	H04L 1/10, 1/40		Х
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	2 141 897	5/9/84	Great Britain	H04N 7/16		Х

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Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.
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Examiner	Date Considered
<b>Examiner:</b> Initial if citation considered, whether or not citation with M.P.E.P. 609; draw line through citation if not in conformation	

considered. Include copy of this form with next communication to applicant.

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Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.
	Reuters, "TRANSMISSION PROTOCOL FOR REUTERS NEWS-VIEW," Aug. 1978, 2 pages.
,	Bright, R., "The Telematique Programme in France," <u>Viewdata &amp; Videotext, 1980-81 A</u> <u>Worldwide Report/Transcript of Viewdata '80 First World Conference On Viewdata,</u> <u>Videotex, and Teletext</u> , March 26-28, 1980, pp. 19-24.
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Title: Signal Processing Apparatus and Methods	Filing Date June 2, 1995	Group 2602

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Supplemental Information Disclosure Statement by Applicant	Attorney Docket No. 5634.223	Serial No. 08/460,817
	Applicant(s) John C. Harvey and James V	W. Cuddihy
Title: Signal Processing Apparatus and Methods	Filing Date June 2, 1995	Group 2602

Examiner Initial	Author, Title, Date, Pertinent Pages, Etc.
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